

#6 83-02

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 5267	
In Re Application Of: John Hendricks, et al.				
Serial No. 09/289,957	Filing Date April 13, 1999	Examiner N/A		Group Art Unit 3761
Title: Electronic Book Alternative Delivery Systems				
<div style="text-align: right;">RECEIVED AUG 26 2002</div>				
<div style="text-align: center;">Address to: Assistant Commissioner for Patents Washington, D.C. 20231</div> <div style="text-align: right;">Technology Center 2800</div>				
<div style="text-align: center;">37 CFR 1.97(b)</div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center;">37 CFR 1.97(c)</div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				
<div style="text-align: right;">RECEIVED AUG 21 2002 TC 3700 MAIL ROOM</div>				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
5267

In Re Application: John Hendricks, et al.

Serial No.
09/289,957

Filing Date
April 13, 1999

Examiner
N/A

Group Art Unit
3761

Electronic Book Alternative Delivery Systems

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Payment of Fee

Technology Center 2600

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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Signature

Dated: August 20, 2002

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(703) 288-5260 facsimile

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01 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				ATTY DOCKET NO. 5267		SERIAL NO. 09/289,957	
				John Hendricks, et al.			
				FILING April 13, 1999		GROUP 3761	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	4,860,379	8/22/1989	Schoeneberger, et al.	725	24	
	2.	5,057,917	10/15/1991	Shalkauser, et al.	375	240.12	
	3.	5,253,275	10/12/1993	Yurt, et al.	375	240	
	4.	5,283,639	2/1/1994	Esch, et al.	725	32	
	5.	5,345,594	9/6/1994	Tsuda	455	18	
	6.	5,132,789	7/21/1992	Ammon, et al.	725	146	
	7.	4,361,848	11/30/1982	Poigner, et al.	348	468	
	8.	5,036,394	7/30/1991	Morii, et al.	348	468	
	9.	4,639,225	1/27/1987	Washizuka	434	308	
	10.	5,132,992	7/21/1997	Yurt, et al.	375	240	
	11.	4,517,598	5/14/1985	Van Valkenburt, et al.	348	478	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	1.	EP0472070A2	2/26/1992	Europe			
	2.	EP0355697	2/28/1990	Europe			
	3.	EP0646856A2	4/5/1995	Europe			
	4.	WO 97/22110	6/19/1997	WIPO			
	5.	WO 92/12599	7/23/1992	WIPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	1.	Dinaro, et al.; Markets and Products Overview; 1991; Pages 135-148					
	2.	Hartley, et al.; Enhancing Teaching Using the Internet; Feb. 1996; Pages 218-228					
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	4,985,697	1/15/1991	Boulton	707	500.1	
	13.	4,855,725	8/8/1989	Fernandez	345	173	
	14.	6,052,717	4/18/2000	Reynolds, et al.	709	218	
	15.	6,034,680	3/7/2000	Kessenich, et al.	345	733	
	16.	5,761,485	6/2/1998	Munyan	345	839	
	17.	5,986,690	11/16/1999	Hendricks	725	60	
	18.	5,528,281	6/18/1996	Grady, et al.	725	93	

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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	6.	WO 90/10988	9/20/1990	WIPO				
	7.	WO 98/18086	4/30/1998	WIPO				
	8.	WO 98/08344	2/26/1998	WIPO				
	9.	WO 01/18665A1	3/15/2001	WIPO				
	10.	WO 95/15649	6/8/1995	WIPO				

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
3.		Bieber, et al.; Fourth Generation Hypermedia: some missing links for the World Wide Web; July 1997; Pages 31-65
4.		Bogdan Czejdo; Using an ER Query and Update Interface for Rapid Prototyping of Hypertext Systems; February 1990; Pages 227-236

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						<div style="border: 1px solid black; padding: 5px; transform: rotate(-90deg); transform-origin: center;"> RECEIVED AUG 26 2002 Technology Center 2600 TC 3/00 MAIL ROOM </div>	
						<div style="border: 1px solid black; padding: 5px; transform: rotate(-90deg); transform-origin: center;"> RECEIVED AUG 21 2002 </div>	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
		9.	Yankelovich, et al.; Reading and Writing the Electronic Book; Oct. 1985; Pages 15-30

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